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## United States Patent and Trademark Office

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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/042,819

01/07/2002

David R. Cox

04-09-02

1016

Vern Norviel Perlegen Sciences, Inc. 2021 Stierlin Court

Mountain View, CA 94043



**CONFIRMATION NO. 7783 FORMALITIES LETTER** \*OC000000007500811\*

Date Mailed: 02/20/2002

#### NOTICE TO FILE CORRECTED APPLICATION PAPERS

## Filing Date Granted

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

■ For Rules Interpretation, call (703) 308-4216

- To Purchase Patentin Software, call (703) 306-2600
- For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov



A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

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I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail, Air bill # EV 063658978 US in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231-0001 on April 8, 2002

PERLEGEN SCIENCES, INC.

By: Naishadh Desai

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

COX, DAVID R. et al

Application No.: 10/042,819

Filed: January 7, 2002

For: GENETIC ANALYSIS SYSTEMS AND

**METHODS** 

Examiner: TBD

Art Unit: TBD

RESPONSE TO NOTICE TO FILE CORRECTED APPLICATION

**PAPERS** 

Assistant Commissioner of Patents Washington, D.C. 20231

Sir:

In response to the Notice to File Corrected Application Papers dated 2/20/2002, and before action on the merits, please amend the application as follows:

### In the Drawings

Applicants submit corrected drawings in compliance with 37 CFR 1.84 with corrected appropriate margins in the Request For Drawing Changes submitted herewith. Applicants have separately filed the Request For Drawing Changes to include reference to the required sequence listing.

## In the Specification

Please add paper copy of the sequence listing after page 86 of the specification satisfying the requirements of 37 CFR 1.821-1.825. Applicants also submit a computer readable copy of the sequence listing pursuant to 37 CFR 1.821(e). The information recorded in computer readable form is identical to the written sequence listing. The

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PATENT Attorney Docket No.: 1016

newly submitted sequence listing contains sequences identical to those depicted in Fig. 2 and Fig. 4.

### **REMARKS**

A sequence listing has been added pursuant to the Patent and Trademark Office request. No new matter is added nor are any fees due on account of this amendment. Early favorable consideration is requested.

Formal drawings are transmitted separately with a Request For Drawing Changes.

Respectfully submitted,

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